IAP15 Rec'd PCT/PTO 11 AUG 2006

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ATTORNEY'S DOCKET NUMBER DNA1180-2

9 2 (If O organ, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/US2005/004513 INTERNATIONAL FILING DATE February 11, 2005 TE February 13, 2004 TITLE OF INVENTION
METHODS AND COMPOSITIONS FOR INFERRING EYE COLOR AND HAIR COLOR APPLICANT(S) FOR DO/EO/US Tony N. Frudakis Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. Ø This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. 🛛 The US has been elected (Article 31).  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. a. is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) Ø are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. 

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🛛 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🔲 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13fer.2 and 35 U.S.C. 1.821 - 1.825. 18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## IAP11 Rec'd PCT/PTO 11 AUG 2006

PTO-1390 (Rev. 07-2005)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10N50810002. 9 1 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2005/004513 DNA1180-2 20. Other items or information: Certificate of Mailing under 37 CFR 1.10 (EV 841 623 228 US); Check No. 582914 in the amount of \$865.00; Return Receipt Postcard; Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration. The following fees are submitted: CALCULATIONS PTO USE ONLY Basic national fee (37 CFR 1.492(a)) .... \$300.00 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations. \$200 \$200.00 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4). \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the \$100 the Office or previously communicated to the US by the IB \$400 \$100.00 TOTAL OF 21, 22 and 23 = \$600.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number) - 100 = /50 = 56 \$250.00 \$ \$0.00 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). \$130.00 NUMBER FILED NUMBER EXTRA CLAIMS RATE Total claims - 20 = 8 \$50.00 \$ \$400.00 X Independent - 3 = 3 \$200.00 х \$ \$600.00 Multiple Dependent Claims (if applicable) \$360.00 \$ TOTAL OF ABOVE CALCULATIONS \$ \$1,730.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$865.00 \$ \$865.00 SUBTOTAL Processing fee of \$130.00 for furnishing the English translation later than 30 months \$ from the earliest claimed priority date (37 CFR 1.492(i)) \$865.00 **TOTAL NATIONAL FEE** = \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must

be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per

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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE	то:			0/ -1
Lisa A. Haile, J.D., Ph.D.  DLA Piper Rudnick Gray Cary US LLP				
4365 Executive Drive, Suite 1100	LLI	•	SIGNATURE	
San Diego, CA 92121-2133 USPTO Customer No. 28213			Lisa A	A. Haile, J.D., Ph.D.
00110 Customer 110. 20213		-	NAME	. 114110, 0121, 11112.
August 11, 2006				38,347
			REGISTRATION	NUMBER

## 40/589291 IAP11 Rec'd PCT/PTO 11 AUG 2006

**PATENT** 

ATTORNEY DOCKET NO.: DNA1180-2

Certificate of Mailing under 37 CFR § 1.10

"EXPRESS MAIL" Mailing Label Number: <u>EV 841 623 228 US</u>
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## Enclosures:

- 1. Certificate of Mailing under 37 CFR § 1.10 (1 pg);
- 2. Form PTO-1390 (3 pgs in duplicate);
- 3. Preliminary Amendment (3 pgs);
- 4. Declaration (unsigned) (3 pgs);
- 5. Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration (10 pgs);
- 6. Check No. 582914 in the amount of \$865.00; and
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Janelle Humphrey
Name of Person Mailing Papers

Signature